## CODING THEORY

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### 1. Lecture 4

1.1. Cyclic codes.

**Definition 1.1.** the cyclic shift of a word  $(c_0, c_1, .., c_{n-1}) \in \mathbb{F}_q^n$  is defined by  $\sigma(c) = (c_{n-1}, c_0, c_1, .., c_{n-2}).$ 

**Definition 1.2.** *C* an  $\mathbb{F}_q$ -linear code is cyclic if  $\sigma(c) \in C$  for all  $c \in C$ .

Example 1.3. The  $\mathbb{F}_7$ -code given by the generator matrix  $G = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 3 & 2 & 6 & 4 & 5 \\ 1 & 2 & 4 & 1 & 2 & 4 \end{pmatrix}$  is

cyclic since  $\sigma(g_1) = g_1$ ,  $\sigma(g_2) = 5g_2$  and  $\sigma(g_3) = 4g_3$ .

**Proposition 1.4.** The dual of a cyclic code is again cyclic.

*Proof.* 
$$\sigma(x)c = x\sigma^{n-1}(c) = 0$$
 for all  $c \in C$  then  $\sigma(x) \in C^{\perp}$ .

**Definition 1.5.**  $\mathbb{C}_{q,n} = \mathbb{F}_q[x]/(x^n - 1)$ 

Let us consider  $\phi : \mathbb{F}_q^n \to \mathbb{C}_{q,n} : c \mapsto c_0 + c_1 x + \ldots + c_{n-1} x^{n-1}$ . We also denote  $\phi(c)$  by c(x).

**Proposition 1.6.**  $\phi$  is an isomorphism of vector spaces. It defines a one-to-one correspondence between ideals of  $\mathbb{C}_{q,n}$  and cyclic codes in  $\mathbb{F}_q^n$ .

Proof.  $\phi(e_i) = x^i$ ,  $\phi$  is given by the identity in the basis  $\{e_i\}$  and  $\{x_i\}$ . Let I be an ideal in  $\mathbb{C}_{q,n}$ . Let  $C = \phi^{-1}(I)$ . Then C is a linear code. Let  $c \in C$ , then  $c(x) = \phi(c) \in I$  and  $x \cdot c(x) \in I$  and  $xc(x) = x(c_0 + c_1x + \ldots + c_{n-1}x^{n-1}) = c_{n-1} + c_0x + \ldots + c_{n-2}x^{n-1}$ . So  $\sigma(c) = \phi^{-1}(xc(x)) \in C$  and C is cyclic.

Conversely,  $I = \phi(C)$  with C cyclic. then I is closed under addition, and for all  $i \in \{0, ..., n-1\}$ , if  $\phi(c) \in I$  then  $x^i \phi(c) = \phi(\sigma^i(c)) \in I$ .

 $\mathbb{F}_{q}[x]$  is a principal ideal domain, hence all ideals are generated by one element. It's unique if monic. And for  $\mathbb{C}_{q,n}$  if we take it of minimal degree. Such polynomial it's called the generator polynomial of C.

**Example 1.7.** The generator polynomial of  $\mathbb{F}_q^n$  is 1 and of  $\{0\}$  is  $x^n - 1$ . The repetition code and its dual have as generators polynomials  $x^{n-1} + \ldots + x + 1$  and x - 1 respectively. Recall that for the repetition code we have

1	1	$-1 \\ 1$	0	 0	0 \	
	0	1	-1	 0	0	
				 	0	
	0	0	0	 1	-1	
	$0 \\ -1$	0	0	 0	1 /	

**Proposition 1.8.**  $g(x) \in \mathbb{F}_{q}^{n}$  monic is a generator polynomial iff  $g \mid x^{n} - 1$ 

*Proof.* the ideal also contain  $x^n - 1$ , so the generator divides the gcd of the other polynomial and  $x^n - 1$ .

**Example 1.9.**  $(x^3+x+1)(x^5-x^3-x^2+x-1) = x^8-1 \in \mathbb{F}_3[x]$  So the first one generates a ternary cyclic code of length 8.

$$G = \begin{pmatrix} 1 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

the other shifted vectors are linear combination of this ones. Hence, k = 5. We compute

$$red(G) = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 2 & 2 & 1 \\ 0 & 0 & 0 & 1 & 0 & 2 & 1 & 2 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

and the parity check one

$$\begin{pmatrix} 2 & 0 & 1 & 1 & 2 & 1 & 0 & 0 \\ 2 & 2 & 1 & 2 & 0 & 0 & 1 & 0 \\ 0 & 2 & 2 & 1 & 2 & 0 & 0 & 1 \end{pmatrix}$$

and we find d = 3.

**Proposition 1.10.** For a cyclic code deg(g) = n - k.

*Proof.* Generated by  $\langle g, xg, ..., x^{k-1}g \rangle$  and the matrix generated by

$\int g_0$	$g_1$	$g_2$	 	 0
0	$g_0$	$g_1$	 	 0
			 	 0
$ \begin{pmatrix} g_0 \\ 0 \\ \dots \\ 0 \end{pmatrix} $	0	0	 $g_0$	 $g_l$

has rank at least l.

**Definition 1.11.**  $h(x) = \frac{x^n - 1}{g(x)}$  is called the parity check polynomial of C. **Proposition 1.12.**  $c(x) \in C$  iff c(x)h(x) = 0*Proof.*  $c(x) \in C$  iff  $c(x) \in (g(x))$  iff c(x)h(x) = 0. 

# **Proposition 1.13.** $\tilde{h}$ , the monic reciprocal of h, is the generator polynomial of $C^{\perp}$

Proof. If k = 0 or n, then  $g = x^n - 1$  or 1 and it is true. Otherwise,  $g = g_0 + g_1 x + \dots + g_{n-k}x^{n-k}$ ,  $h = h_0 + \dots + h_k x^k$  and for  $t \neq 0, n$  we have  $\sum_i g_i h_{t-i} = 0$ . In particular  $g_0 h_k + g_1 h_{k-1} + \dots = 0$  and  $\tilde{h} \in C^{\perp}$ , by a dimension argument we have the equality.  $\Box$ 

**Example 1.14.** [6,3] cyclic code over  $\mathbb{F}_7$  with  $g(x) = x^3 + 3x^2 + x + 6$ . Then  $h(x) = x^3 + 4x^2 + x + 1$ . Then  $g^{\perp}(x) = x^3 + x^2 + 4x + 1$  and

$$G^{\perp} = H = \begin{pmatrix} 1 & 4 & 1 & 1 & 0 & 0 \\ 0 & 1 & 4 & 1 & 1 & 0 \\ 0 & 0 & 1 & 4 & 1 & 1 \end{pmatrix},$$

and d = 4.

**Definition 1.15.** Let  $\alpha$  a primitive root of  $x^n - 1$  over an extension  $\mathbb{F}_q^m$  of  $\mathbb{F}_q$  (we assume  $p \not\mid n$ ), then  $Z(C) := \{i \in \mathbb{Z}_n \mid c(\alpha^i) = 0 \forall c \in C\}.$ 

**Proposition 1.16.**  $g(x) = \prod_{i \in Z(C)} (x - \alpha^i)$ 

*Proof.* Write  $g(x) = \prod_{i \in Z_g} (x - \alpha^i) c(x) = a(x)g(x)$  then  $c(\alpha^i) = 0$  for all  $in \in Z(C)$  then  $Z_g \subseteq Z(C)$ .

We have  $g(x) \in C$ , then  $g(\alpha^i) = 0$  for all  $i \in Z(C)$  then  $Z(C) \subseteq Z_g$ .

Proposition 1.17. 
$$Z(C^{\perp}) = \mathbb{Z}_n / \{-i \mid i \in Z(C)\}$$

*Proof.* 
$$h(x) = \frac{x^n - 1}{g(x)}$$
 and  $g^{\perp}(x) = \tilde{h}(x)$ 

**Proposition 1.18.** Let C be a cyclic code that has at least  $\delta - 1$  consecutive elements in Z(C) modulo n, then  $d \ge \delta$ .

Proof. Let I be the defining set for a cyclic code C, then  $c(\alpha^i) = 0$  for all  $i \in I$ . Let  $\tilde{H}$  be the  $\#I \times n$  matrix  $\alpha^{ij}$ . Let  $\tilde{C}$  be the  $\mathbb{F}_q^m$ -linear code with parity check matrix  $\tilde{H}$ . Then C is the restriction of  $\tilde{C}$  and any bound of the minimum distance for  $\tilde{C}$  holds for C.

For our case:  $(\alpha^{ij} \mid b \leq i \leq b + \delta - 2, 0 \leq j \leq n)$  is a parity check matrix of a code  $\tilde{C}$  that has C as a subfield code.  $\tilde{C}$  is equivalent to the one with parity check matrix  $(\alpha^{ij} \mid 0 \leq i \leq \delta - 2, 0 \leq j \leq n)$ . As a generator matrix of the dual we get an MDS code as in example ??. So with parameters  $[n, \delta - 1, n - \delta - 2]$ , so for the dual  $[n, n - \delta + 1, \delta]$ .  $\Box$ 

**Definition 1.19.** a cyclic code with defining set  $\{b, b+1, ..., b+\delta-2\}$  is called a BCH code with designed minimum distance  $\delta$ . It's called narrow sense if b = 1 and primitive if  $n = q^m - 1$ .

**Definition 1.20.**  $\delta_{BCH}$  = largest integer  $\delta \leq n+1$  such that there is a subset of Z(C) consisting on  $\delta - 1$  elements that are consecutive of some period modulo n.

**Example 1.21.** n = 17, q = 2.  $Z_{\alpha} = \{1, 2, 4, 8, 9, 13, 15, 16\}$  has  $\delta = 3$  because at most 2 consecutive ones, but  $Z_{\alpha^6} = \{3, 5, 6, 7, 10, 11, 12, 14\}$  (we multiply by 3) has  $\delta = 4$ . Actually,  $\delta_{BCH} = 4$ .

Theorem 1.22.  $d \ge \delta_{BCH}$ 

The APGZ (Arimoto-Peterson-Gorenstein-Zierler) decoding algorithm for cyclic codes. It efficiently corrects errors of weight at most  $w \leq (\delta - 1)/2$ , even if the minimum distance bigger than  $\delta$ . You use the information you are sure you have to give a linear system  $w \times w$  that describe the errors.

### 1.3. Polynomial Codes.

**Definition 1.23.** (Reed-Solomon Codes)  $\alpha \in \mathbb{F}_q$ , primitive, n = q - 1.  $0 \le b, k, \le n$ .  $g_{b,k}(x) = (x - \alpha^b) \dots (x - \alpha^{b+n-k-1}).$ 

 $RS_k(n,b)$  is the q-ary cyclic code with generator  $g_{b,k}$ .

**Proposition 1.24.**  $RS_k(n,b)$  has length n = q - 1, is cyclic, linear and MDS of dim. k. Moreover,  $(RS_k(n,b))^{\perp} = RS_{n-k}(n,n-b+1)$ .

Proof. n = q - 1, cyclic and linear by definition. deg  $g_{b-k}(x) = n - k$ , then dimension k.  $U + \{b, b+1, ..., b+n-k-1\}$  def. set, then by BCH bound  $d \ge n - k + 1$  and by the Singleton bound equal. Then MDS.

For the dual we get the def. set  $\mathbb{Z}_n/U = \{n-b-1, ..., n-b+k\}$  then  $RS_{n-k}(n, n-b+1)$ .

**Applications:** for CD's, it was the first use of strong error correction coding in a mass-produced consumer product. Also for two-dimensional bar codes.

**Definition 1.25.**  $f(x) \in \mathbb{F}_q[x], ev(f(x)) = (f(1), f(\alpha), ..., f(\alpha^{n-1}))$ 

**Proposition 1.26.**  $RS_k(n,b) = \{ev(x^{n-b+1}f(x)) \mid f(x) \in \mathbb{F}_q[x], \deg(f) < k\}$ 

*Proof.*  $ev(x^{n-b+1}x^i) = (1, \alpha^{n-b+1+i}, ..., \alpha^{(n-1)(n-b+1-i)})$ 

The parity check matrix is  $H = (\alpha^{ij} \mid b \leq i \leq b - n + k - 1, 0 \leq j \leq n - 1)$  that is the generator matrix of the dual  $RS_{n-k}(n, n-b+1)$ .

**Example 1.27.** Consider  $R_3(7, 1)$ . It is a cyclic code over  $\mathbb{F}_8$  with generator polynomial  $g_{1,3}(x) = (x - \alpha)(x - \alpha^2)(x - \alpha^3)(x - \alpha^4)$  where  $\alpha^3 = \alpha + 1$ . So it is the code in exercise 3.6 with

$$G = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & \alpha & \alpha^2 & \alpha^3 & \alpha^4 & \alpha^5 & \alpha^6 \\ 1 & \alpha^2 & \alpha^4 & \alpha^6 & \alpha & \alpha^3 & \alpha^5 \end{pmatrix}.$$

In the second description we have:  $RS_3(7,1) = \{ev(f(x)) \mid f(x) \in \mathbb{F}_8[x], \deg(f) < 3\}$ and we find the 3 rows of G by taking the basis of  $\mathbb{F}_8[x]_{<3}$  given by  $1, x, x^2$ .

1.4. Reed-Solomon decoder. Let  $c = (f(x_1), ..., f(x_n))$  with deg f < k. Let  $r \in \mathbb{F}_q^n$  with  $d(r, c) \leq t$ . x and r are known. We want to compute c (or f).

(1) Let  $P = P_0(x) + P_1(x)y \in \mathbb{F}_q[x, y]$  such that deg  $P_0 < n - t$ , deg  $P_1 < n - k - t$ and for all  $i \in \{1, ..., n\}, P(x_i, r_i) = 0$ .

2) If 
$$t \leq \frac{n-\kappa}{2}$$
, then  $f = -\frac{P_0}{P_1}$ .

*Proof.*  $\deg(P(x, f(x))) < n - t$  but it has at least n - t roots, so it is zero.

**Definition 1.28.** (Generalized Reed-Solomon codes)

$$GRS_k(a, b) = \{ ev_{k-r, a}(f(x)) * b \mid f(x) \in \mathbb{F}_q[x], \deg(f) < k \}$$

There is also a generalization for the decoding algorithm.

**Definition 1.29.** (Alternant codes)

$$ALT_r(a,b) = \mathbb{F}_q - \text{linear restriction of } (GRS_r(a,b))^{\perp}$$

**Proposition 1.30.** Every linear code with  $d \ge 2$  is an alternant code.

*Proof.* See Proposition 5.3.4 in Pellikaan, Wu, Bulygin, Jurrius book "Codes, Cryptology and Curves with Computer Algebra".  $\Box$ 

 $RS \subseteq BCH \subseteq cyclic \subseteq poly. \subseteq linear$ Goppa  $\subseteq$  alternant  $\subseteq$  linear  $RS \subseteq RM$  $RS \subseteq GRS$ 

**Definition 1.31.** ((classical) Goppa codes, or polynomial ones)  $L = (a_1, ..., a_n), a_i \in \mathbb{F}_q^m$ .  $g \in \mathbb{F}_q^m[x]$  such that  $g(a_i) \neq 0$ .

$$\Gamma(L,g) = \{ c \in \mathbb{F}_q^m \mid \sum \frac{c_i}{x - a_j} \equiv 0 \mod g(x) \}$$

**Proposition 1.32.**  $\Gamma(L,g) = ALT_r(a,b)$  with  $b_j = \frac{1}{g(a_j)}$ 

**Definition 1.33.** (q-ary Reed-Muller code) Let  $P = \{P_1, ..., P_n\}$  be an enumeration of the elements of  $\mathbb{F}_q^m$  with  $n = q^m$ . Let  $0 \le r \le m(q-1)$ 

def2

$$RM_q(r,m) = \{ev_P(f) \mid d \in \mathbb{F}_q[x_1,..,x_m], \deg(f) \le r\}$$
  
2. LECTURE 5

2.1. Algebraic Geometry Goppa codes. Let X be an absolutely irreducible nonsingular projective curve over  $\mathbb{F}_q$ . Let  $P_1, ..., P_n$  be rational points on X. Set  $D = P_1 + ... + P_n$ . Let G another rational divisor that has support disjoint with D and such that  $2g - 2 < \deg G < n$  (this last condition is not really necessary).

**Definition 2.1.** (Algebraic-geometry code or geometric RS code)

$$C_L(D,G) = \{(f(P_1), ..., f(P_n)) | f \in \mathcal{L}(G)\}.$$

**Theorem 2.2.** The code  $C_L(D,G)$  has dimension  $k = \deg(G) - g + 1$  and minimum distance  $d \ge n - \deg(G)$ .

**Theorem 2.3.** (Riemann-Roch) Let D be a divisor on a non-singular projective curve of genus g, then for any canonical divisor K we have

$$\ell(D) - \ell(K - D) = \deg(D) - g + 1$$

Corollary 2.4. (1)  $\deg(K) = 2g - 2$ (2) If  $\deg(D) > 2g - 2$  then  $\ell(D) = \deg(D) - g + 1$ 

*Proof.* If f(P) = 0 then  $f \in \mathcal{L}(G - D)$  but  $\ell(G - D) = 0$  because  $\deg(G - D) < 0$  and  $\deg(G) > 2g - 2$  so  $\ell G = \deg(G) - g + 1$ .

 $\deg(G) > 2g - 2 \text{ so } \ell G = \deg(G) - g + 1.$ If f(P) has weight d then it is in  $\mathcal{L}(G - E)$  where  $E = P_{i_1} + \ldots + P_{i_{n-d}}$ , so  $\deg(G - E) \ge$  $\det(f) = 0$ , hence  $\deg(G) - n + d \ge 0$ 

**Definition 2.5.** Let D be a divisor on a curve X. We define

$$\Omega(D) = \{ \omega \in \Omega^1(X) \mid (\omega) - D \ge 0 \},\$$

and we denote its dimension by  $\delta(D) = \ell(K - D)$  (there is an isomorphism between both vector spaces sending f to  $f\omega$ ) called the index of speciality of D.

**Definition 2.6.**  $(\omega) = \sum_{P \in X} v_P(\omega) P$ .  $\omega = f dt$ ,  $f = \sum a_i t^i$ , then  $\operatorname{Res}_P(\omega) = a_{-1}$  and we have that  $\sum_{P \in X} \operatorname{Res}_P(\omega) = 0$ .

**Definition 2.7.** The linear code  $C_{\Omega}(D, G)$  of length n over  $\mathbb{F}_q$  is the image of  $\Omega(G - D)$  by the linear map  $\operatorname{Res}_P(\eta) = (\operatorname{Res}_{P_1}(\eta), ..., \operatorname{Res}_{P_n}(\eta)).$ 

**Theorem 2.8.** The code  $C_{\Omega}(D,G)$  has dimension  $k^* = n - \deg(G) + g - 1$  and minimum distance  $d^* \ge \deg(G) - 2g + 2$ .

Proof. If  $\operatorname{Res}_P(\eta) = 0$  then  $\eta \in \Omega()$  and ...  $\delta(G - D) = \ell(K - G + D) = 2g - 2 + n - \deg(G) - g + 1$ .

**Example 2.9.** Let  $L = (\alpha_1, ..., \alpha_n)$  be a set of n distinct elements of  $\mathbb{F}_{q^m}$ . Let  $g \in \mathbb{F}_{q^m}[x]$  not zero at the  $\alpha_i$ . The classical Goppa code  $\Gamma(L, g)$  is defined as

$$\{c \in \mathbb{F}_q^n \mid \sum \frac{c_i}{x - \alpha_i} \equiv 0 \mod g\}.$$

Let us take  $X = \mathbb{P}^1$ ,  $P_i = (\alpha_i : 1)$ , Q = (1 : 0),  $D = P_1 + \ldots + P_n$  and E the divisor of zeros of g. Then  $\Gamma(L, g) = C_{\Omega}(D, E - Q)$ .

**Theorem 2.10.** The codes  $C_L(D,G)$  and  $C_{\Omega}(D,G)$  are dual codes.

Proof. First notice that  $k + k^* = n$ . Now let  $f \in \mathcal{L}(G)$  and  $\eta \in \Omega(G - D)$ . Let us check that the inner product of their images is 0. The differential  $f\eta$  has no poles except maybe at the  $P_i$  and with residue  $f(P_i) \operatorname{Res}_{P_i}(\eta)$ . Then  $\sum_{P_i} f(P_i) \operatorname{Res}_{P_i}(\eta) =$  $\sum_{P \in X} f(P_i) \operatorname{Res}_{P_i}(\eta) = 0$ 

**Theorem 2.11.** (Hasse-Weil-Serre bound) Let X be a curve of genus g over  $\mathbb{F}_q$ . Let  $N_q(X)$  denote the number of  $\mathbb{F}_q$ -rational points on X. Then

$$\mid N_q(X) - q - 1 \mid \leq g \lfloor 2\sqrt{q} \rfloor$$

Let X be a non-singular genus g curve over  $\mathbb{F}_q$ . Then an algebraic geometric code satisfy  $k + d \ge n + 1 - g$ . For the information rate R = k/n and the relative minimum distance  $\delta = d/n$  we have  $R + \delta \ge 1 - \frac{g-1}{n}$ . In order to construct asymptotically good codes we need curves with low genus and many  $\mathbb{F}_q$ -rational points.

**Definition 2.12.** A sequence of curve  $\{X_m\}$  over  $\mathbb{F}_q$  is called asymptotically good if  $\lim_{m\to\infty} N_q(X_m) = \infty$ ,  $\lim_{m\to\infty} g(X_m) = \infty$  and  $\lim_{m\to\infty} \frac{N_q(X_m)}{g(X_m)}$  exists and is positive.

**Theorem 2.13.** (Tsfasman-Vladut-Zink bound) Let q be a square. For every R, there exists an asymptotically good sequence of codes C such that  $R(C) + \delta(C) \ge 1 - \frac{1}{\sqrt{q-1}}$ 

*Proof.* (idea) Drinfeld-Vladut bound plus modular curves.

García-Stichtenoth towers.

Serre book and https://manypoints.org/

**Example 2.14.**  $X : y^2 + y = x^3$  over  $\mathbb{F}_4$  is an elliptic curve with g(C) = 1.  $\mathbb{F}_4 = \mathbb{F}_2[w]$  with  $w^2 + w + 1 = 0$ .

Let us take Q = (0:1:0) and  $P = \{P_1, ..., P_8\}$  with  $P_1 = (0:0:1), P_2 = (0:1:1), P_3 = (1:w:1), P_4 = (1:w^2:1), P_5 = (w:w:1), P_6 = (w:w^2:1), P_7 = (w^2:w:1)$  and  $P_8 = (w^2:w^2:1)$ . We take G = 5Q, then  $\mathcal{L}(G) = <1, x, y, x^2, xy >$ . The code  $C_L(D,G)$  is generated by

$$\begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & w & w & w^2 & w^2 \\ 0 & 1 & w & w^2 & w & w^2 & w & w^2 \\ 0 & 0 & 1 & 1 & w^2 & w^2 & w & w \\ 0 & 0 & w & w^2 & w^2 & 1 & 1 & w \end{pmatrix}$$

we have n = 8, k = 5 and  $d = 3 \le n - k = 3$  and  $\ge n - \deg(G) = 3$ ).

We have  $\Omega^1(X) = \langle dx \rangle$ , and then  $\Omega(G - D) \simeq \mathcal{L}(D - G)$  and  $\ell(D - G) = 3 - 1 + 1$ and actually  $\mathcal{L}(D - G) = \langle \frac{1}{x(x-1)(x-\omega)}, \frac{1}{x(x-1)(x-\omega^2)}, \frac{1}{(x-1)(x-\omega)(x-\omega^2)} \rangle$  so we get the code generated by (we take  $y/x^2$  for the uniformizer of  $P_1$  and  $P_2$ , x - 1 for  $P_3$  and  $P_4$ ,  $x - \omega$  for  $P_5$  and  $P_6$ , etc.)

$$\begin{pmatrix} -\omega^2 & \omega^2 & \omega & \omega & 1 & 1 & 0 & 0 \\ -\omega & \omega & \omega^2 & \omega^2 & 1 & 1 & 0 & 0 \\ 0 & 0 & * & * & * & * & * \end{pmatrix}$$

with n = 8, k = 3 and d = 5.

2.2. Some crypto. Nowadays mostly all ciphering schemes are based on difficult problems on Number Theory, like integers factorization (RSA) and the discrete logarithm problem (Diffie-Hellman, El Gammal).

- (1) 1982: Feynman noticed that some quantum phenomenon can not be reproduce by a computer.
- (2) 1994 Shor propose a quantum algorithm that factors an integer N in log(N) operations.
- (3) 1996: Grover gives a quantum algorithm for finding an element in an unsorted list of length N in  $O(\sqrt{N})$  operations.

In 2007, the NIST call for post-quantum cipher schemes. Those ones are based on:

- (1) Euclidean lattices
- (2) Rank Metric Codes
- (3) Hamming Metric Codes
- (4) Elliptic curves isogenies
- (5) Polynomial systems of equations

Advantages: ciphering and deciphering very fast, 5 and 150 times faster than RSA. Post-quantum.

Disadvantages: huge public keys.

## 2.3. The McEliece Cryptosystem.

- (1)  $\mathcal{F}$  is a set of codes of dimension k in  $\mathbb{F}_{q}^{n}$
- (2)  $\mathcal{S}$  is a set of "secrets" with a sujertive map  $\mathcal{C}: \mathcal{S} \to \mathcal{F}$
- (3) We associate to each  $s \in S$  an algorithm  $\mathcal{D}(s)$  that corrects t errors for  $\mathcal{C}(s)$ .

The scheme:

- (1) Secret key:  $s \in \mathcal{S}$  with generator matrix G
- (2) Alice take a random invertible S and permutation matrix P
- (3) Private key: (S, G, P)
- (4) Public key: the basis G' = SGP of  $\mathcal{C}(s)$
- (5) Encryption: Bob wants to send the message m to Alice, he takes  $c = mG' \in \mathcal{C}(s)$ and a random e of weight t. He produces y := c + e.
- (6) Alice receives y.
- (7) Decryption:  $c' = cP^{-1}$
- (8) apply  $\mathcal{D}(s)$  to c' ir order to find m'.
- (9) she computes  $m = m'S^{-1}$ .

It has been used in the secure of an Instant Messenger, but the public key size is really a problem. **Example 2.15.** Suppose  $\int$  equals to the [7, 4, 3] Hamming code, and the public key is the generator matrix

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}.$$

Alice want to send the message m = (1, 0, 1, 1) to Bob. Bob create an invertible matrix S and a random permutation matrix P that will keep secret.

$$S = \begin{pmatrix} 1 & 0 & 0 & 1 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}, P = (1264753)$$

Bob gives the public key  $G_1 = SGP$ . Alice generates a random error vector e = (0, 1, 0, 0, 0, 0, 0). Then  $y = mG_1 + e = (0, 0, 0, 1, 1, 0, 0)$  is sent.

Bob needs to decrypt:  $y_1 = yP^{-1} = (0, 0, 1, 0, 0, 0, 1)$ . By applying the parity check matrix and changing the corresponding bit, it yields  $x_1 = (0, 0, 1, 0, 0, 1, 1)$ . Bob solves now  $x_0G = x_1$ .  $x_0 = (0, 0, 1, 0)$ . Bob finally gets  $x = x_0S^{-1} = (1, 0, 1, 1)$  that was the original message.

#### 3. Exercises

**Exercise 3.1.** The Hamming  $[7, 4, 3]_2$ -code is non-cyclic but equivalent to a cyclic one.

**Exercise 3.2.** Prove that the code over  $\mathbb{F}_q$  generated by

$$\begin{pmatrix} 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$

is not cyclic.

**Exercise 3.3.** Let C be a cyclic code over  $\mathbb{F}_q$  of length 7 such that (1, 1, 1, 0, 0, 0, 0) is an element of C. Show that C is a trivial code if q is not a power of 3.

**Exercise 3.4.** Find the generator matrix of the binary cyclic code of length 7 generated by  $1 + x + x^5$ .

**Exercise 3.5.** Show that  $2 + x^2 + x^3$  is the generator polynomial of a ternary cyclic code of length 13.

**Exercise 3.6.** Let  $\alpha \in \mathbb{F}_8$  such that  $\alpha^3 = \alpha + 1$ . Let the generator matrix be

$$G = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & \alpha & \alpha^2 & \alpha^3 & \alpha^4 & \alpha^5 & \alpha^6 \\ 1 & \alpha^2 & \alpha^4 & \alpha^6 & \alpha & \alpha^3 & \alpha^5 \end{pmatrix}.$$

Show that this code is cyclic and compute the generator polynomial.

**Exercise 3.7.** Prove the equivalence of definitions 1.9 in Lecture 3 and 1.33.

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